

# Retrofitting OCaml modules

Clément Blaudeau, Cambium team, Inria

*Ocaml meetup - 11/05/23*



PhD advisors : Didier Rémy, Gabriel Radanne

# Modularity



# Modularity

Reusable modules / Structural modules



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Reusable modules / Structural modules



Interfaces / Abstraction

# The power of ML-modularity

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# Basic modularity: modules, signatures and abstraction

## As a module developer

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15

## As a module user

1  
2  
3  
4  
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6  
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2  
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5

# Basic modularity: modules, signatures and abstraction

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# Basic modularity: modules, signatures and abstraction

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✓ Interface control

# Basic modularity: modules, signatures and abstraction

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- ✓ Polymorphism

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- ✓ Polymorphism
- ✓ Composition





**Generative**

---

**Generative**

---

**Applicative**

## Generative

Functors as parameterized *sub-programs*

---

## Applicative

## Generative

Functors as parameterized *sub-programs*

- Internal state / effects

---

## Applicative

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Functors as parameterized *sub-programs*

- Internal state / effects
  - *Strong* abstraction barrier
- 

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Functors as parameterized *sub-programs*

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---

## Applicative

# Abstraction and dependencies - functors

## Generative

Functors as parameterized *sub-programs*

- Internal state / effects
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7  module T1 = Tokens() (* Public tokens *)
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## Applicative

Functors as parameterized *libraries*

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# Abstraction and dependencies - functors

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→ *same applications* produce same results

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# Applicativity granularity

```
1  module X1 = struct
2    type t = int
3    ...
4  end
5
6  module X2 = struct
7    type t = int
8    ...
9  end
10
11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

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## Types only

- Sound: types only depend on types

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## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

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## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

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1 module X1 = struct
2   type t = int
3   let compare = (<)
4 end
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6 module X2 = struct
7   type t = int
8   let compare = (>)
9 end
10
11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
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## Types and values

```
1 module X1 = struct
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4 end
5
6 module X2 = struct
7   type t = int
8   let compare = (>)
9 end
10
11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

## Types and values

- *Abstraction safe*

```
1 | module X1 = struct  
2 |   type t = int  
3 |   let compare = (<)  
4 | end  
5 |  
6 | module X2 = struct  
7 |   type t = int  
8 |   let compare = (>)  
9 | end  
10 |  
11 | OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

## Types and values

- *Abstraction safe*  
→ tracking equality of values

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1  module X1 = struct
2      type t = int
3      let compare = (<)
4  end
5
6  module X2 = struct
7      type t = int
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9  end
10
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```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

## Types and values

- *Abstraction safe*  
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```
1 module X1 = struct
2   type t = int
3   let compare = (<)
4 end
5
6 module X2 = struct
7   type t = int
8   let compare = X1.compare
9 end
10
11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

## Types and values - *fine grained*

- *Abstraction safe*  
→ tracking equality of values

```
1 module X1 = struct
2   type t = int
3   let compare = (<)
4 end
5
6 module X2 = struct
7   type t = int
8   let compare = X1.compare
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11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
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- *Abstraction safe*  
→ tracking equality of values

## Modules - *coarse grained*

```
1 module X1 = struct
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4 end
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6 module X2 = struct
7   type t = int
8   let compare = X1.compare
9 end
10
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```



# Applicativity granularity

## Types only

- Sound: types only depend on types  
→ assumes the functor's body only depends on types fields

## Types and values - *fine grained*

- *Abstraction safe*  
→ tracking equality of values

## Modules - *coarse grained*

- Syntactic criterion

```
1 module X1 = struct
2   type t = int
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4 end
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# Applicativity granularity

## Types only

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- Syntactic criterion

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5
6
7
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10
11  OrderedSet(X1).t =? OrderedSet(X1).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
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## Types and values - *fine grained*

- *Abstraction safe*  
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## Modules - *coarse grained*

- Syntactic criterion  
→ tracking of module *aliasing*

```
1  module X1 = struct
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4  end
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7
8
9
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11 OrderedSet(X1).t =? OrderedSet(X1).t
```

# Applicativity granularity

## Types only

- Sound: types only depend on types  
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## Types and values - *fine grained*

- *Abstraction safe*  
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## Modules - *coarse grained*

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# Applicativity granularity

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3   let compare = (<)
4 end
5
6 module X2 = X1
7
8
9
10
11 OrderedSet(X1).t =? OrderedSet(X2).t
```

# Who needs module aliases ?

1	11
2	12
3	13
4	14
5	15
6	16
7	17
8	18
9	19
10	20

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *) 11
2                                     12
3                                     13
4  end 14
5                                     15
6                                     16
7                                     17
8                                     18
9                                     19
10                                    20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *) 11
2    module Scalar : Field 12
3  13
4  end 14
5  15
6  16
7  17
8  18
9  19
10 20
```



# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *) 11
2    module Scalar : Field 12
3    ... (* more fields *) 13
4  end 14
5  15
6  16
7  17
8  18
9  19
10 20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *) 11
2    module Scalar : Field 12
3    ... (* more fields *) 13
4  end 14
5  15
6  module LinearAlg(V:VS) = struct 16
7    ... 17
8  18
9  19
10 end 20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *) 11
2    module Scalar : Field 12
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5  15
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7    ... 17
8    module ScalarSet = Set(V.Scalar) 18
9  19
10 end 20
```

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# Who needs module aliases ?

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1  module type VS = sig (* Vector space *)
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3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
11 module Make3D(K:Field) = (struct
12
13
14 end
15
16
17
18
19
20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
2    module Scalar : Field
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4  end
5
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10 end
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13
14   end
15
16
17
18
19
20
```

# Who needs module aliases ?

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4  end
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8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end
15
16
17
18
19
20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
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4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end
15
16
17
18 module Reals = ...
19
20
```



# Who needs module aliases ?

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9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end
15
16
17
18 module Reals = ...
19 module Space3D = LinearAlg(Make3D(Reals))
20
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
2    module Scalar : Field
3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end
15
16
17
18 module Reals = ...
19 module Space3D = LinearAlg(Make3D(Reals))
20 (* Space3D.ScalarSet.t =? Set(Reals).t *)
```

# Who needs module aliases ?

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1  module type VS = sig (* Vector space *)
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4  end
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10 end
```

```
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15
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```

Structural functors

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
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3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

Structural functors

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end
15
16
17
18 module Reals = ...
19 module Space3D = LinearAlg(Make3D(Reals))
20 (* Space3D.ScalarSet.t =? Set(Reals).t *)
```

Reusable (applicative) functors

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
2    module Scalar : Field
3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end : sig
15
16
17 end)
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19 module Space3D = LinearAlg(Make3D(Reals))
20 (* Space3D.ScalarSet.t =? Set(Reals).t *)
```

# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
2    module Scalar : Field
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4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end : sig
15   module Scalar : (= K)
16   ...
17 end)
18 module Reals = ...
19 module Space3D = LinearAlg(Make3D(Reals))
20 (* Space3D.ScalarSet.t =? Set(Reals).t *)
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# Who needs module aliases ?

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1  module type VS = sig (* Vector space *)
2    module Scalar : Field
3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10 end
```

```
11 module Make3D(K:Field) = (struct
12   module Scalar = K
13   ... (* built from K *)
14 end : sig
15   module Scalar : (= K < Field)
16   ...
17 end)
18 module Reals = ...
19 module Space3D = LinearAlg(Make3D(Reals))
20 (* Space3D.ScalarSet.t =? Set(Reals).t *)
```

# Who needs module aliases ?

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5
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9    ...
10 end
```

```
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```

Transparent ascription



# Who needs module aliases ?

```
1  module type VS = sig (* Vector space *)
2    module Scalar : Field
3    ... (* more fields *)
4  end
5
6  module LinearAlg(V:VS) = struct
7    ...
8    module ScalarSet = Set(V.Scalar)
9    ...
10  end
```

```
11  module Make3D(K:Field) = (struct
12    module Scalar = K
13    ... (* built from K *)
14  end : sig
15    module Scalar : (= K < Field)
16    ...
17  end)
18  module Reals = ...
19  module Space3D = LinearAlg(Make3D(Reals))
20  (* Space3D.ScalarSet.t =? Set(Reals).t *)
```

## Specifying OCaml modules

---

# Position-dependent meaning of syntax

## Enriched syntax

→  $F^\omega$  quantifiers

## Key mechanisms

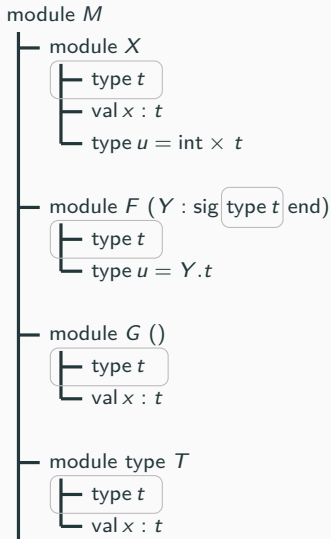
```
module M
├── module X
│   ├── type t
│   ├── val x : t
│   └── type u = int × t
├── module F (Y : sig type t end)
│   ├── type t
│   └── type u = Y.t
├── module G ()
│   ├── type t
│   └── val x : t
└── module type T
    ├── type t
    └── val x : t
```

# Position-dependent meaning of syntax

## Enriched syntax

→  $F^\omega$  quantifiers

## Key mechanisms



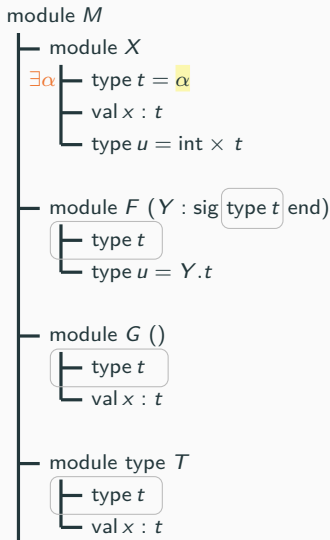
# Position-dependent meaning of syntax

## Enriched syntax

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- Existential for ascription

## Key mechanisms



# Position-dependent meaning of syntax

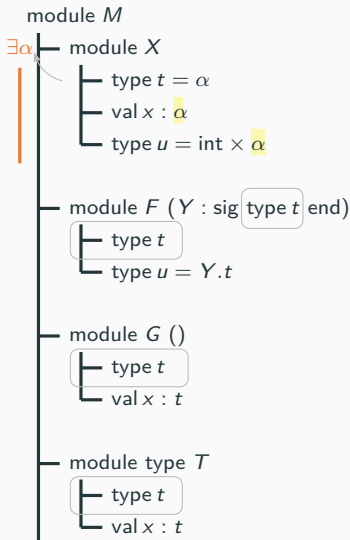
## Enriched syntax

→  $F^\omega$  quantifiers

- Existential for ascription

## Key mechanisms

- Existential lifting



# Position-dependent meaning of syntax

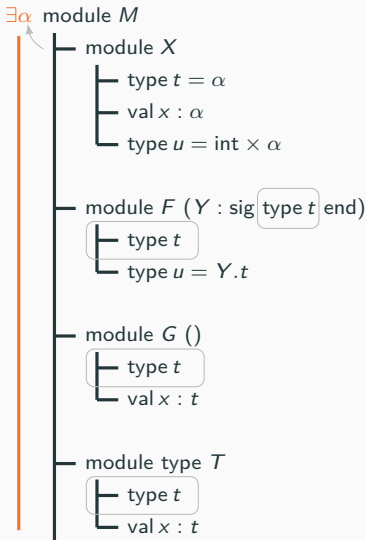
## Enriched syntax

→  $F^\omega$  quantifiers

- Existential for ascription
- Universal for functors

## Key mechanisms

- Existential lifting



# Position-dependent meaning of syntax

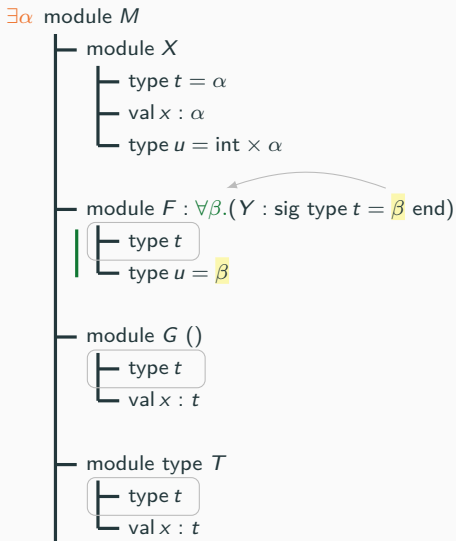
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→  $F^\omega$  quantifiers

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- Universal for functors

## Key mechanisms

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# Position-dependent meaning of syntax

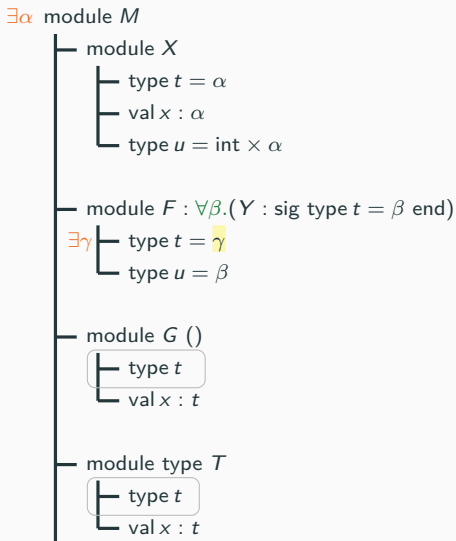
## Enriched syntax

→  $F^\omega$  quantifiers

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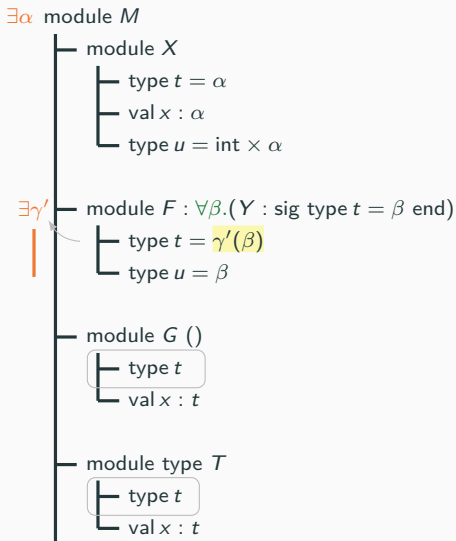
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→  $F^\omega$  quantifiers

- Existential for ascription
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## Key mechanisms

- Existential lifting
- Skolemization



# Position-dependent meaning of syntax

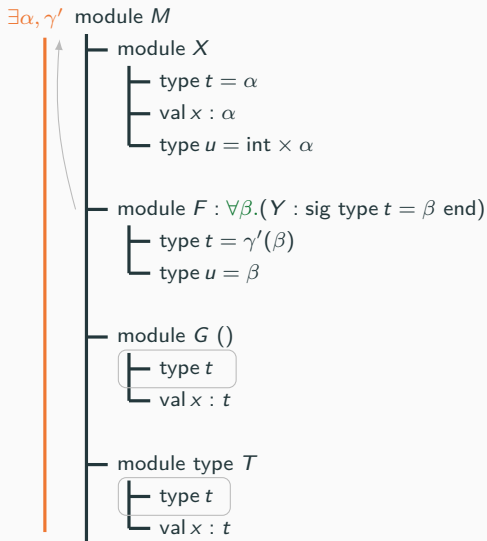
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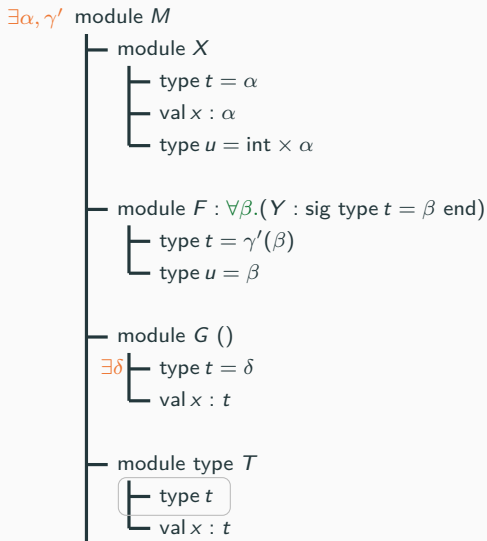
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- Universal for functors

## Key mechanisms

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# Position-dependent meaning of syntax

## Enriched syntax

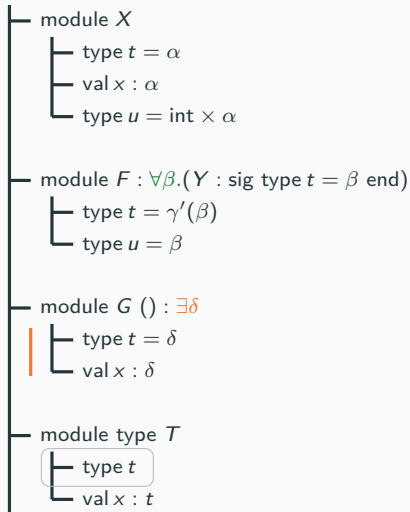
→  $F^\omega$  quantifiers

- Existential for ascription
- Universal for functors

## Key mechanisms

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$\exists \alpha, \gamma'$  module  $M$



# Position-dependent meaning of syntax

## Enriched syntax

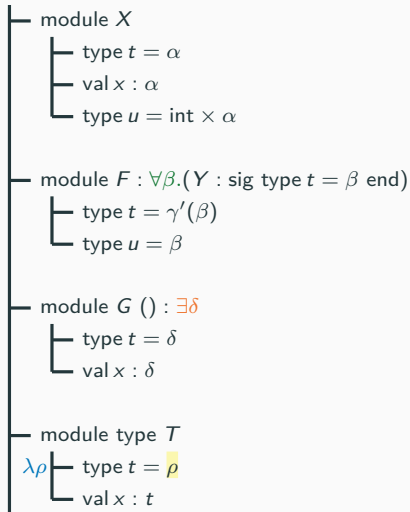
→  $F^\omega$  quantifiers

- Existential for ascription
- Universal for functors
- Lambda for module types

## Key mechanisms

- Existential lifting
- Skolemization

$\exists \alpha, \gamma'$  module  $M$



# Position-dependent meaning of syntax

## Enriched syntax

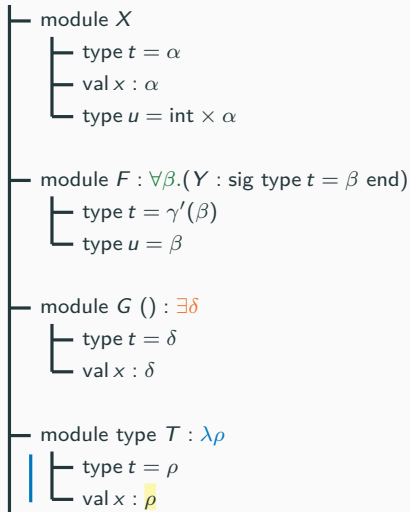
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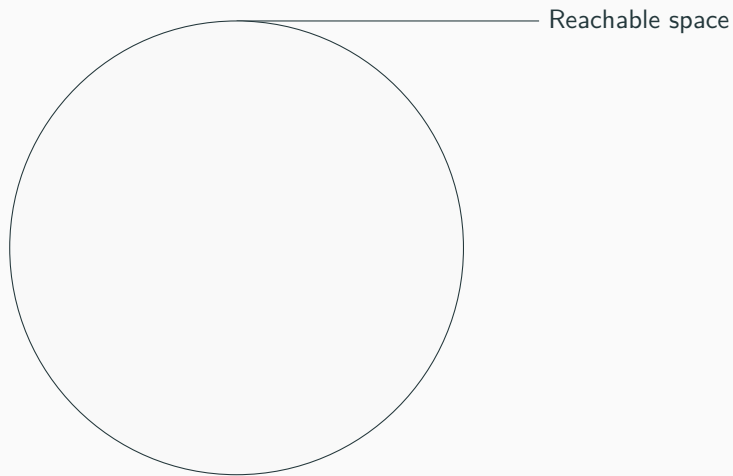
## Key mechanisms

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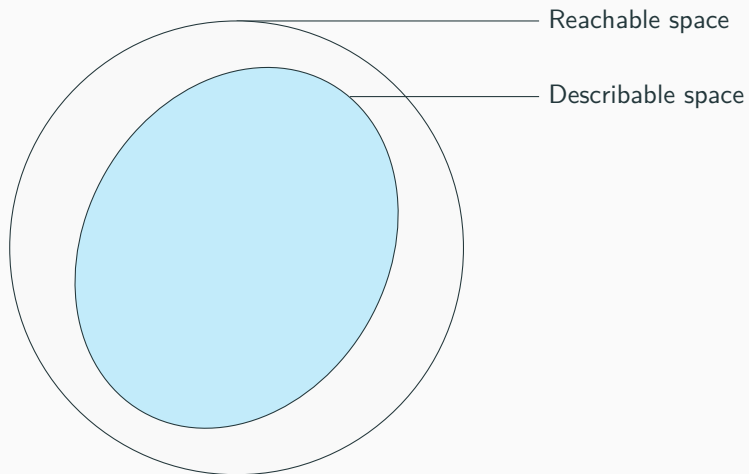


# Expressivity mismatch

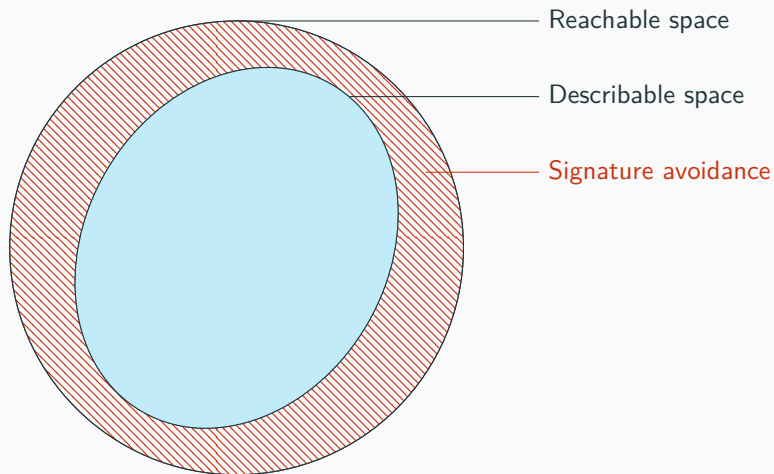




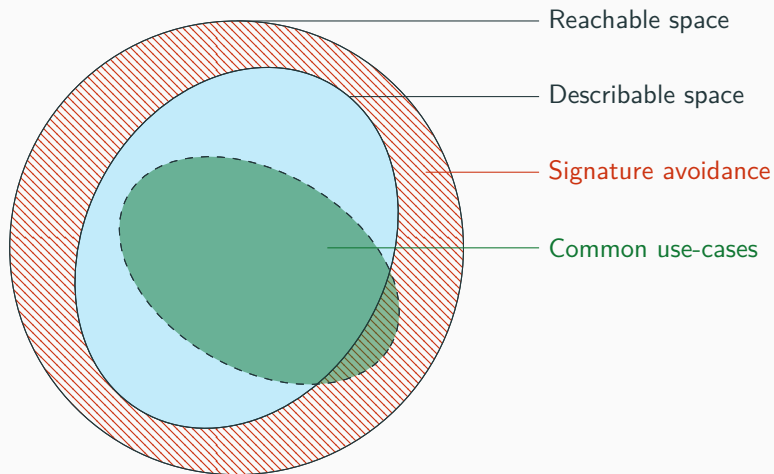
## Expressivity mismatch



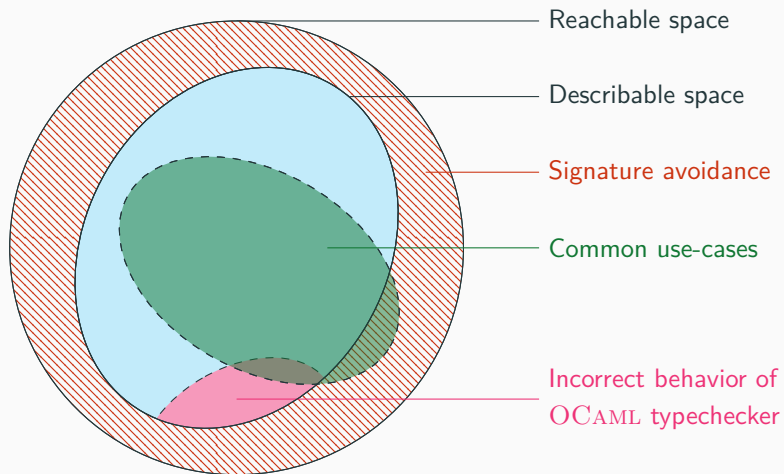
# Expressivity mismatch



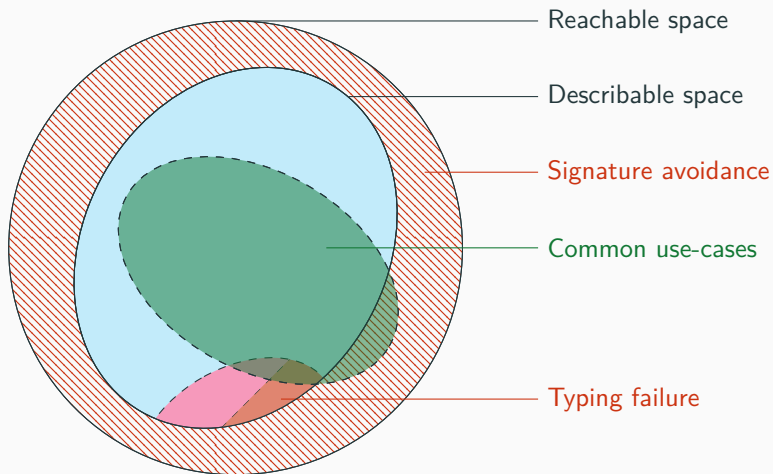
# Expressivity mismatch



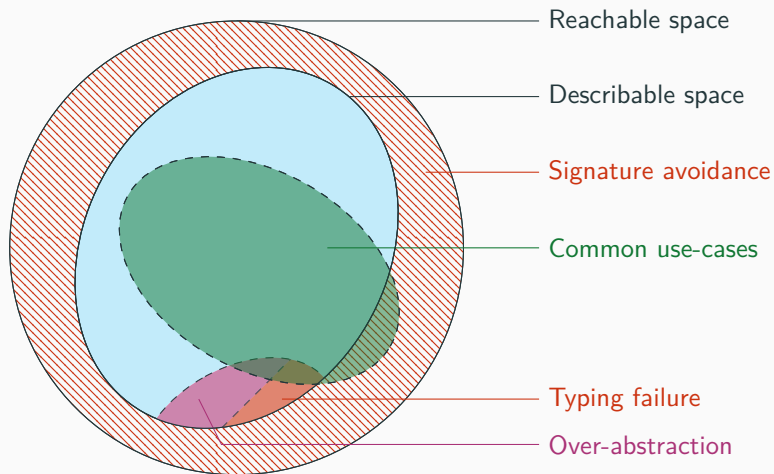
# Expressivity mismatch



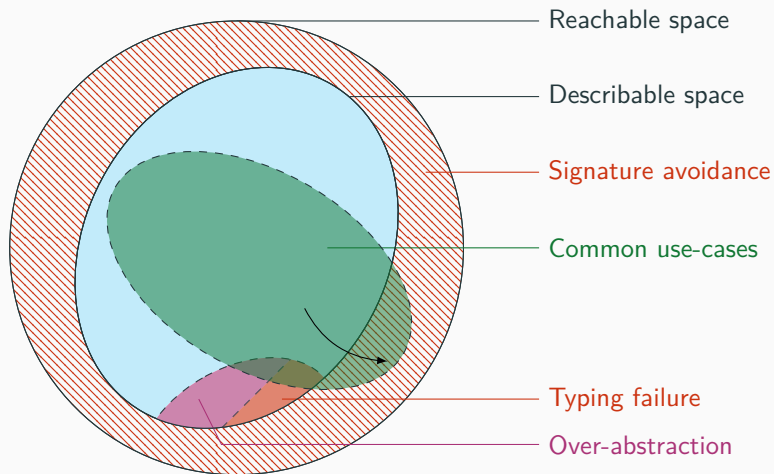
# Expressivity mismatch



# Expressivity mismatch



# Expressivity mismatch







- Specify the OCAML module system via a translation in  $F^\omega$

# Conclusion

- Specify the OCAML module system via a translation in  $F^\omega$ 
  - ✓ Applicative / Generative functors

# Conclusion

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- Rewrite the typechecker

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- Specify the OCAML module system via a translation in  $F^\omega$ 
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  - ✓ Transparent ascription
    - Abstract signatures
    - Recursive modules
- Rewrite the typechecker
- Implement transparent ascription

# Conclusion

- Specify the OCAML module system via a translation in  $F^\omega$ 
  - ✓ Applicative / Generative functors
  - ✓ Transparent ascription
    - Abstract signatures
    - Recursive modules
- Rewrite the typechecker
- Implement transparent ascription
- Explore the challenges of having an hybrid syntax with quantifiers



# Strengthening

```
1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7
8
9
10
11
12
13
```

# Strengthening

```
1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7 module X1      = struct
8
9
10
11
12
13
```

```
module X1
```

# Strengthening

```
1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7 module X1 = struct
8   type t = int
9
10
11
12
13
```

```
┌ module X1
  └ type t = int
```

# Strengthening

```
1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7 module X1 = struct
8   type t = int
9   let x : t = 42
10
11
12
13
```

```
┌ module X1
├   type t = int
└   val x : t
```

# Strengthening

```
1 module type S = sig
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```
module X1
├ type t = int
├ val x : t
└ type u = int × t
```

# Strengthening

```
1 module type S = sig
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```
module X1
├ type t = int
├ val x : t
└ type u = int × t
```

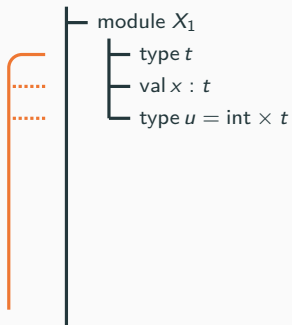
# Strengthening

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1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7 module X1 : S = struct
8   type t = int
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```
module X1
├── type t = int
├── val x : t
└── type u = int * t
```

# Strengthening

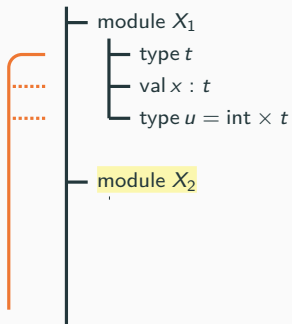
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1 module type S = sig
2   type t
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13
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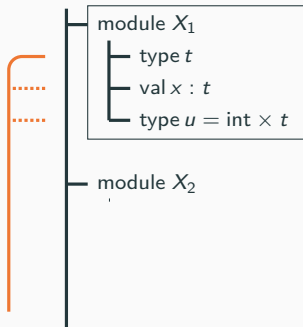
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8   type t = int
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10  type u = int * t
11 end
12
13 module X2 = X1
```



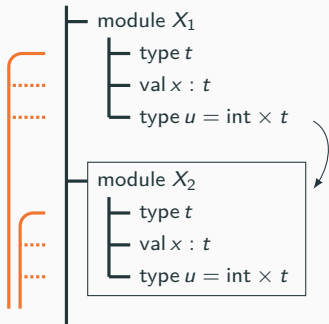
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13 module X2 = X1
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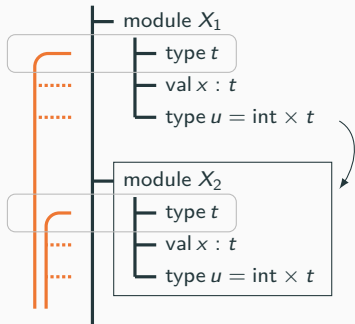
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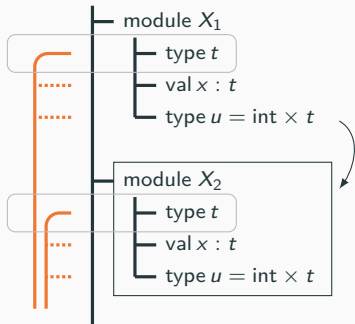
# Strengthening

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8   type t = int
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10  type u = int * t
11 end
12
13 module X2 = X1
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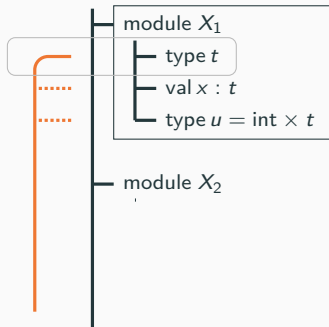
# Strengthening

```
1 module type S = sig
2   type t
3   val x : t
4   type u = int * t
5 end
6
7 module X1 : S = struct
8   type t = int
9   let x : t = 42
10  type u = int * t
11 end
12
13 module X2 = (X1:S)
```



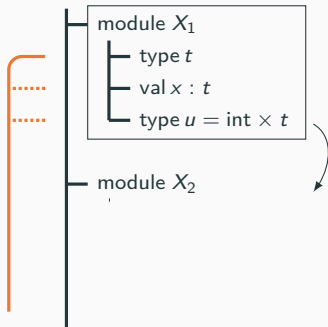
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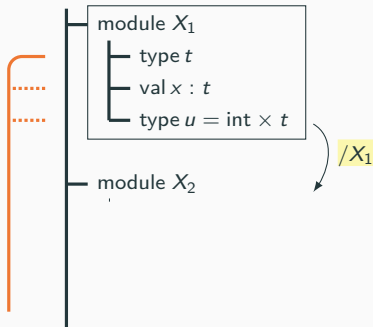
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13 module X2 = X1
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# Strengthening

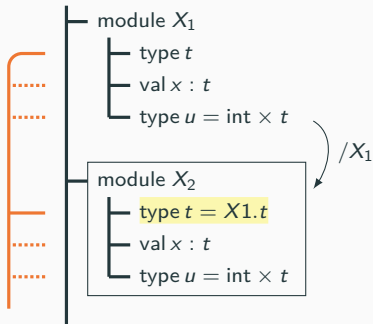
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1 module type S = sig
2   type t
3   val x : t
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# Strengthening

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1 module type S = sig
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# Signature avoidance

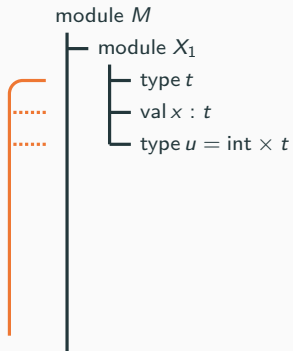
```
1  module M = struct
2
3
4
5
6
7
8  end
```

module *M*



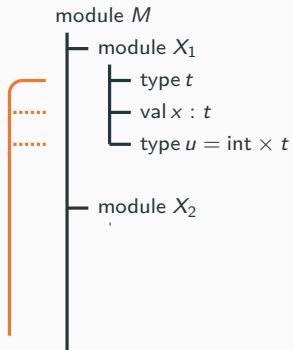
# Signature avoidance

```
1 module M = struct
2   module X1 : S = ...
3
4
5
6
7
8 end
```



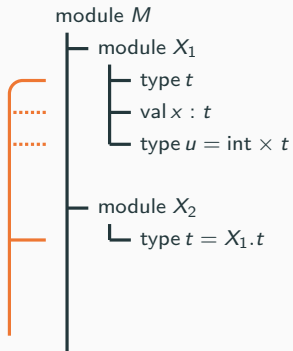
# Signature avoidance

```
1 module M = struct
2   module X1 : S = ...
3
4   module X2 = struct
5
6
7
8 end
```



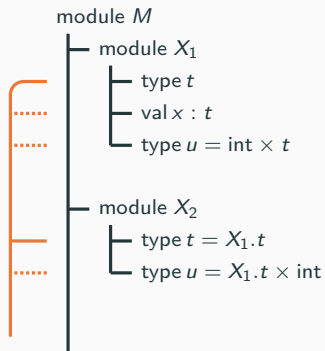
# Signature avoidance

```
1 module M = struct
2   module X1 : S = ...
3
4   module X2 = struct
5     type t = X1.t
6
7   end
8 end
```



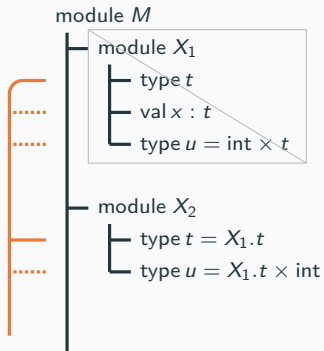
# Signature avoidance

```
1 module M = struct
2   module X1 : S = ...
3
4   module X2 = struct
5     type t = X1.t
6     type u = X1.t * int
7   end
8 end
```



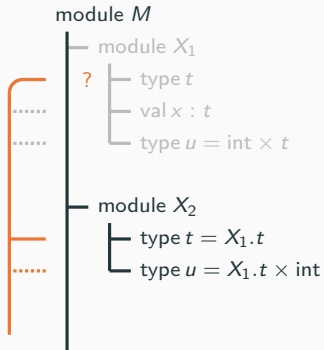
# Signature avoidance

```
1 module M = (struct
2   module X1 : S = ...
3
4   module X2 = struct
5     type t = X1.t
6     type u = X1.t * int
7   end
8 end) .X2
```



# Signature avoidance

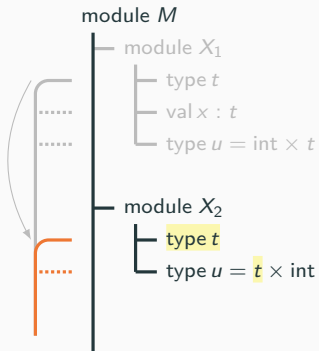
```
1 module M = (struct
2   module X1 : S = ...
3
4   module X2 = struct
5     type t = X1.t
6     type u = X1.t * int
7   end
8 end) .X2
```





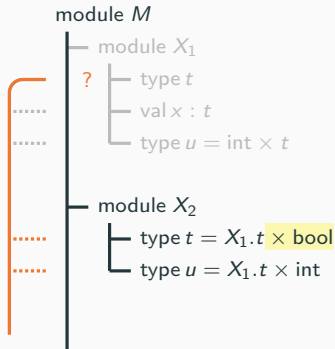
# Signature avoidance

```
1 module M = (struct
2   module X1 : S = ...
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4   module X2 = struct
5     type t = X1.t
6     type u = X1.t * int
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```



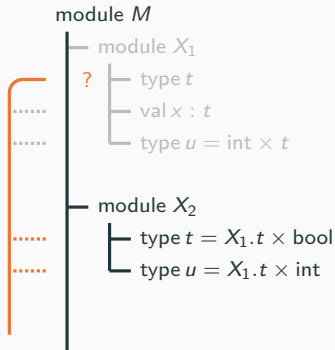
# Signature avoidance

```
1 module M = (struct
2   module X1 : S = ...
3
4   module X2 = struct
5     type t = X1.t * bool
6     type u = X1.t * int
7   end
8 end) .X2
```



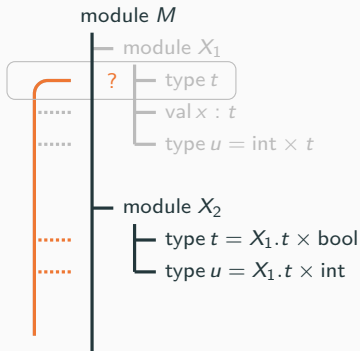
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1 module M = (struct
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3
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6     type u = X1.t * int
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# Signature avoidance

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1 module M = (struct
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5     type t = X1.t * bool
6     type u = X1.t * int
7   end
8 end) .X2
```



# Signature avoidance

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1  module M = (struct
2    module X1 : S = ...
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5      type t = X1.t * bool
6      type u = X1.t * int
7    end
8  end) .X2
```

